

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : BARTLETT et al.  
Serial No. : 09/914,274 Group Art Unit: 1654  
Filed : December 13, 2001 Examiner: S. COE  
Title : ANIMAL FEED SUPPLEMENT FOR THE NUTRITIONAL  
ENRICHMENT OF ANIMAL PRODUCE

**THIRD REQUEST FOR CORRECTED FILING RECEIPT  
and CORRECTED NOTICE OF ACCEPTANCE OF APPLICATION**

**BOX PCT**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

There are errors in the Filing Receipt and the Notice of Acceptance of Application of the above-identified application, as follows:

1. The filing receipt indicates a filing date of "12/18/2001." Actually, a Second Submission enclosing the executed Declaration was filed in the US PTO via Express Mail on **December 13, 2001**. Attached is a copy of the Express Mail Receipt, No. ET118622215US, which shows the "Date In" as "Dec 13, 2001." Also attached is a copy of our postcard receipt showing a receipt date of December 13, 2002. Attached is a copy of the filing receipt showing the requested correction.
2. The Notice of Acceptance of Application indicates a date of receipt of 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) Requirements of "12/18/2001" and the Date of Receipt of All 35 U.S.C. Requirements of "12/18/2001." As discussed above, these two dates should be "12/13/2001." Attached is a copy of the Notice of Acceptance of Application showing the requested corrections.

BARTLETT et al. - Serial No. 09/914,274  
THIRD REQUEST FOR CORRECTED FILING RECEIPT  
Attorney Docket: WALs-102

3. A first Request for Corrected Filing Receipt was filed in the above-identified application via certificate of mailing dated February 22, 2002, addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, Attn: Office of Initial Patent Examination, Customer Service Center.

On checking with the Customer Service Center regarding the status of the Request for Corrected Filing Receipt, the undersigned attorney's legal assistant, Phyllis Caldwell, was advised that since this application was a U.S. national stage application based on a PCT international application, the Customer Service Center could not issue a corrected filing receipt. This would have to come from the PCT Office.

Ms. Caldwell made several calls to Ms. Deborah Williams of the PCT Office requesting that the corrections be made to the filing receipt and the Notification of Acceptance.

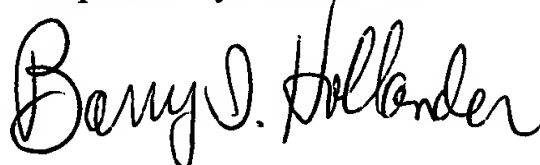
4. A second Request for Corrected Filing Receipt was filed in the above-identified application via certificate of facsimile dated May 29, 2002, addressed to PCT Legal.

5. This Third Request for Corrected Filing Receipt is being concurrently filed at BOX APPLICATION NUMBER.

BARTLETT et al. - Serial No. 09/914,274  
THIRD REQUEST FOR CORRECTED FILING RECEIPT  
Attorney Docket: WAL-102

In view of the above, it is therefore respectfully requested that both a **corrected**  
Filing Receipt and Notice of Acceptance of Application be issued.

Respectfully submitted,



Barry I. Hollander  
Registration No. 28,566

Hollander Law Firm, P.L.C.  
Suite 305, 10300 Eaton Place  
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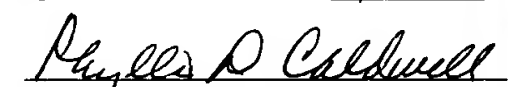
April 25, 2003

Enclosures:

- Copy of Filing Receipt showing requested  
correction
- Copy of Notice of Acceptance of Application  
showing requested corrections
- Copy of Express Mail Receipt No.  
ET118622215US
- Copy of Postcard Receipt

CERTIFICATE OF MAILING

I hereby certify that this correspondence  
dated 4/25/03 is being deposited with  
the United States Postal Service as first  
class mail in an envelope addressed to: Box  
PCT, Assistant Commissioner for Patents,  
Washington, D.C. 20231 on 4/25/03.

  
HOLLANDER LAW FIRM, P.L.C.  
Suite 305  
10300 Eaton Place  
Fairfax, Virginia 22030

Date: 4/25/03



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/914,274	12/18/2001 12/13/2001	1761	1523	WALS-102		82	13

23290  
HOLLANDER LAW FIRM, P.L.C.  
SUITE 305  
10300 EATON PLACE  
FAIRFAX, VA 22030

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JAN 17 2002

HOLLANDER LAW FIRM, P.L.C.

CONFIRMATION NO. 2341

FILING RECEIPT



\*OC000000007297511\*

Date Mailed: 01/11/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Brian Bartlett, Lindfield, NSW, AUSTRALIA;  
John Wingate, Glenorie, NSW, AUSTRALIA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/AU00/00129 02/24/2000

## Foreign Applications

AUSTRALIA PP 8873 02/24/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Animal feed supplement for the nutritional enrichment of animal produce

## Preliminary Class

426

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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UNITED STATES PATENT AND TRADEMARK OFFICE

 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 2341

<b>SERIAL NUMBER</b> 09/914,274	<b>FILING OR 371(c) DATE</b> 12/13/2001 <b>RULE</b>	<b>CLASS</b> 424	<b>GROUP ART UNIT</b> 1654	<b>ATTORNEY DOCKET NO.</b> WALS-102	
<b>APPLICANTS</b> Brian Bartlett, Lindfield, NSW, AUSTRALIA; John Wingate, Glenorie, NSW, AUSTRALIA;					
<b>** CONTINUING DATA *****</b> This application is a 371 of PCT/AU00/00129 02/24/2000					
<b>** FOREIGN APPLICATIONS *****</b> AUSTRALIA PP 8873 02/24/1999					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY **</b> ** 09/04/2003					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		<b>STATE OR COUNTRY</b> AUSTRALIA	<b>SHEETS DRAWING</b>	<b>TOTAL CLAIMS</b> 82	<b>INDEPENDENT CLAIMS</b> 13
<b>ADDRESS</b> 23290					
<b>TITLE</b> Animal feed supplement for the nutritional enrichment of animal produce					
<b>FILING FEE RECEIVED</b> 1604	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

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Washington, DC 20231

BIH 12/13/01 ✓

Sir: By placing your receiving stamp hereon & mailing, kindly  
acknowledge receipt of:

BARTLETT et al - Serial No. 09/914,274 - Transmittal Letter to the  
US Designated/Elected Office Concerning a Filing Under 35 USC 371  
(second submission), enclosing executed Declaration, copy of Notification of  
Missing Requirements mailed 10/25/01, Recordation Form Cover Sheet,  
Assignment, check in the amount of \$105.00 to cover surcharge fee and  
assignment recording fee, filed via Express Mail on December 13, 2001,  
Express Mail No. ET118622215US

WALS-102 due 12/25/2001

JCO7 Rec'd PCT/PTO 13 DEC 2001

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Fairfax, Virginia 22030

WALS-102

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Assistant Commissioner for Patents  
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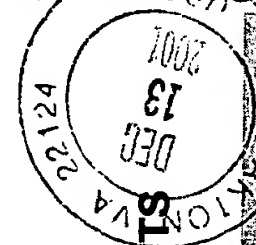
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/914,274	Brian Bartlett	WALS-102

 23290  
 HOLLANDER LAW FIRM, P.L.C.  
 SUITE 305  
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 FAIRFAX, VA 22030
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INTERNATIONAL APPLICATION NO.
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PCT/AU00/00129

I.A. FILING DATE	PRIORITY DATE
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02/24/2000

02/24/1999

CONFIRMATION NO. 2341

371 ACCEPTANCE LETTER



\*OC000000007297512\*

Date Mailed: 01/11/2002

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

12/13/2001  
12/18/2001

 DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
 (c)(4) REQUIREMENTS

12/13/2001  
12/18/2001

 DATE OF RECEIPT OF ALL 35 U.S.C.  
 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** *The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).* Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Indication of Small Entity Status
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

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- Small Entity Statement

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

---

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY